

***A toy model for the Kerr/CFT  
correspondence***

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# Motivation

- *universal entropy for black holes*

$$S_{BH} = \frac{A_H}{4G\hbar}$$

$AdS_3/CFT_2$   
↓

- *good microscopic understanding only for black holes with  $AdS_3$  factor in the near-horizon (charged, supersymmetric)*
  - *infinite-dimensional conformal symmetry (2 copies of Virasoro algebra)*

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{c}{24}m(m^2 - 1)\delta_{m+n} \quad m, n \in \mathbb{Z}$$

- *universal entropy formula*

$$S_{Cardy} = \frac{\pi^2}{3} c (T_L + T_R)$$

- *realistic black holes: Kerr  $\rightarrow$  mass  $M$  and angular momentum  $J$*
- *most progress for extremal Kerr  $M^2 = J$  : Kerr/CFT correspondence*

# Plan

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- *review of the Kerr/CFT correspondence*
- *puzzles* → *no dynamics*
  - *second copy of Virasoro*
- *string-theoretical toy model I: both puzzles solved!*
  - *Virasoro x Virasoro acts on entire linearized phase space*
- *string-theoretical toy model II: “travelling waves”*
  - *background unstable*
- *conclusions*

# The Kerr/CFT correspondence

MG, Hartman, Song, Strominger '08

- near-horizon geometry of the extreme Kerr black hole (NHEK)

$$ds^2 = 2J \Omega^2(\theta) \left[ \underbrace{-r^2 dt^2 + \frac{dr^2}{r^2}}_{AdS_2} + \frac{\sin^2 \theta}{\Omega^4(\theta)} \underbrace{(d\phi + r dt)^2}_{U(1) \text{ fibre}} + d\theta^2 \right]$$

$$\Omega^2(\theta) = \frac{1 + \cos^2 \theta}{2}$$

Bardeen, Horowitz '99

$AdS_2$  ←  $U(1)$  fibre

$$\phi \sim \phi + 2\pi$$

- self-dual spacelike warped  $AdS_3$   $\theta$  - dependent: stretched/ squashed

- isometry  $SL(2, \mathbb{R})_L \times U(1)_R \rightarrow$  Virasoro!  $\left\{ \begin{array}{l} \xi_n = e^{in\phi} (\partial_\phi + inr\partial_r) \\ c = 12J \end{array} \right.$

- Cardy entropy  $\rightarrow$  "chiral half" of a  $CFT_2$

- generalizes to all extremal black holes  $\rightarrow$  universality!

- expect 2<sup>nd</sup> Virasoro that simultaneously enhances  $SL(2, \mathbb{R})_L \rightarrow$  elusive!

# The “no dynamics” puzzle



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## No dynamics in the extremal Kerr throat

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ABSTRACT: Motivated by the Kerr/CFT conjecture, we explore solutions of vacuum general relativity whose asymptotic behavior agrees with that of the extremal Kerr throat, sometimes called the Near-Horizon Extreme Kerr (NHEK) geometry. We argue that all

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## Kerr-CFT and gravitational perturbations

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ABSTRACT: Motivated by the Kerr-CFT conjecture, we investigate perturbations of the near-horizon extreme Kerr spacetime. The Teukolsky equation for a massless field of arbitrary spin is solved. Solutions fall into two classes: normal modes and traveling waves.

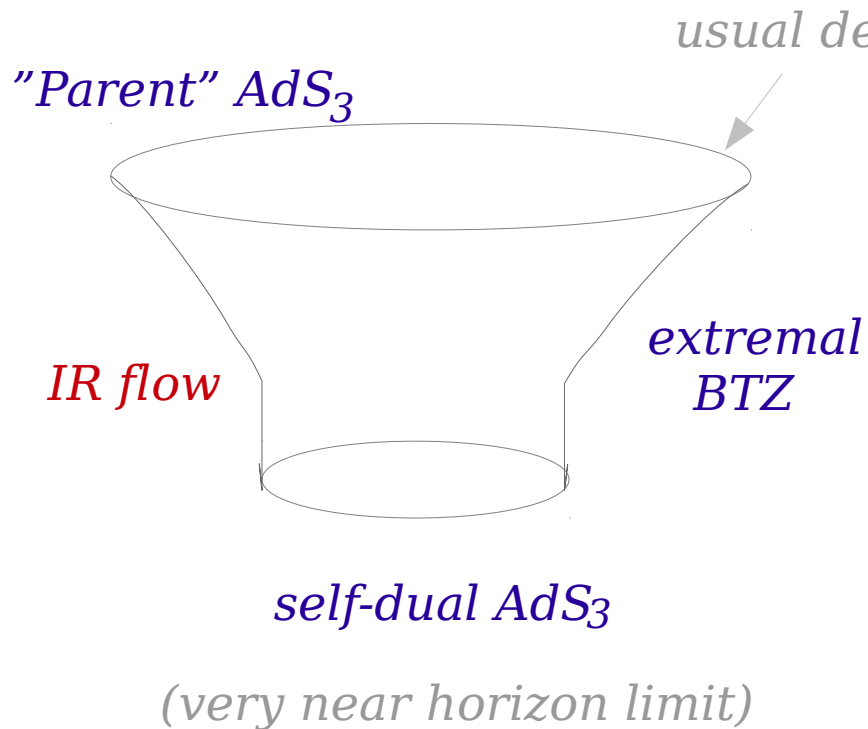
JHEP08(2009)

- *linearized perturbations in NHEK*  $\varphi \sim r^{-h(\kappa)} e^{-i\omega t + i\kappa\phi}$
- *conformal dimensions*  $h(\kappa)$ : *real*  $\rightarrow$  *normal modes*
  - *imaginary: “travelling waves”*  $\rightarrow$  *superradiance!*
- *backreaction destroys bnd. cond. on NHEK*  $\rightarrow$  *finite energy in AdS<sub>2</sub> throat*
  - $\rightarrow$  *instability due to oscillatory modes*
- *only boundary gravitons left*  $\rightarrow$  *no dynamics!* *What does Cardy count?*

# No dynamics and DLCQ

- holographic understanding of “no dynamics” for *self-dual AdS<sub>3</sub>*

*Balasubramanian, de Boer, Sheikh-Jabbari, Simon '09*



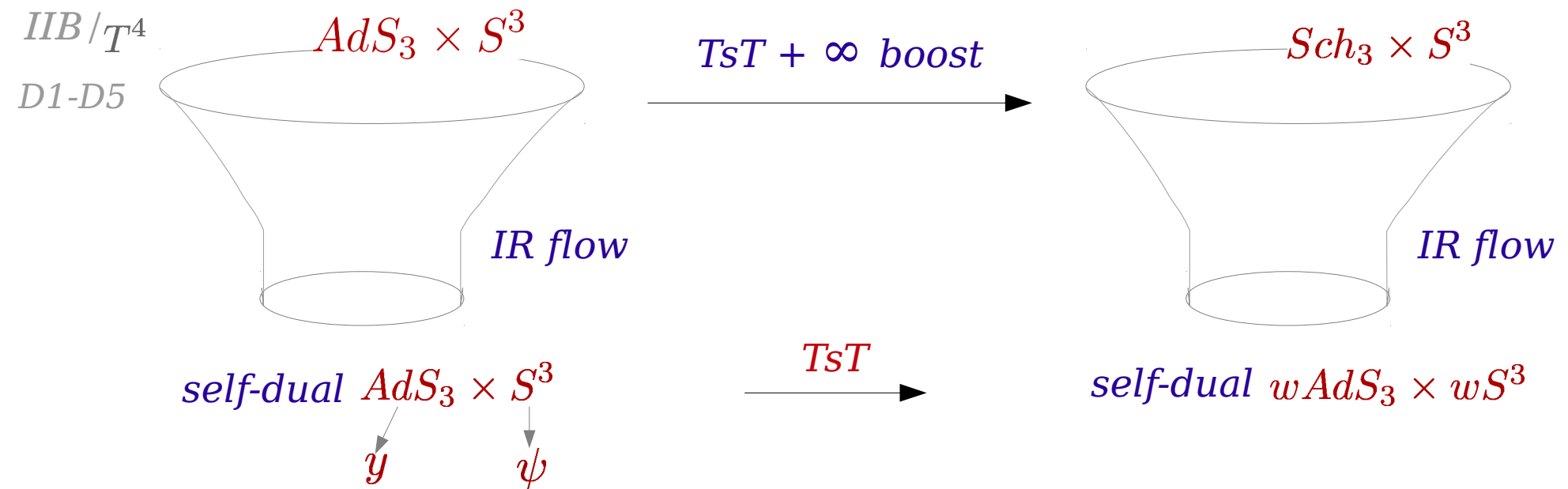
*AdS<sub>3</sub> → self-dual AdS<sub>3</sub> flow*

*= DLCQ limit CFT<sub>2</sub>: freezes left-movers*

- *no dynamics*
- *chiral half of CFT<sub>2</sub>*
- *need parent theory to derive Cardy*

- *“parent” space-time for NHEK?*
- *string theory embedding!*

# String-theoretical construction of warped $AdS_3$



- **TsT:** T-duality along  $y$ , shift  $\psi \rightarrow \psi + 2\lambda \tilde{y}$ , T-duality back  $\lambda \notin \mathbb{Z}$  **B-field**
- constant warping, **entropy preserved** (Cardy)
- other backgrounds with RR flux:  $ST_sTS$ ,  $T^4ST_sTST^4$  Bena, M.G, Song'12
  - near-horizon of extreme charged Myers-Perry  $S = 2\pi\sqrt{J_L^2 - Q^3} = \pi^2 c T_R/3$
  - S-dual dipole background M.G., Strominger'10
- **Kerr/CFT correspondence = 3d Schrödinger holography (AdS/cold atom)** El-Showk, M.G '11

*Toy model I*



# The S-dual dipole truncation

- consistent truncations type II B:  $g_{\mu\nu}, A_\mu, U$

$$S = \frac{1}{16\pi G_3} \int d^3x \sqrt{g} \left( R - 4(U)^2 - \frac{4}{\ell^2} e^{-4U} A^2 + \frac{2}{\ell^2} e^{-4U} (2 - e^{-4U}) - \frac{1}{\ell} \epsilon^{\mu\nu\rho} A_\mu F_{\nu\rho} \right)$$

Detournay, MG '12

- **two** propagating degrees of freedom:  $A_\mu, U$
- vacuum solution: 3d Schrödinger space-time/ null warped  $AdS_3$

$$ds^2 = \ell^2 \left( -\lambda^2 r^2 du^2 + \frac{dr^2}{4r^2} + 2rdudv \right) \quad A = \lambda \ell r du \quad U = 0$$

- isometry  $SL(2, \mathbb{R})_L \times U(1)_R \rightarrow$  null

$u$ : left-moving

$v$ : right-moving

**Plan:** construct phase space  $\leftrightarrow$  space of solutions

- study its symmetries (two Virasoros?)

# Finite-temperature solutions

Detournay, MG '12

- warped BTZ black strings  $(T_L, T_R, \lambda)$  - *very nice!*

$$\frac{ds_{wBTZ}^2}{\ell^2} = T_R^2 dv^2 + 2r du dv + [T_L^2 (1 + \lambda^2 T_R^2) - \lambda^2 r^2] du^2 + \frac{(1 + \lambda^2 T_R^2) dr^2}{4(r^2 - (T_L T_R)^2)}$$

$$A = \frac{\lambda \ell}{1 + \lambda^2 T_R^2} (r du + T_R^2 dv) \quad e^{4U} = 1 + \lambda^2 T_R^2$$

- alternate writing:  $ds_{wBTZ}^2 = (1 + \lambda^2 T_R^2) (ds_{BTZ}^2 - A \otimes A)$

- thermodynamics/ unit length *identical to BTZ black string*  $x = u + v$

$$T_H, \Omega_H \quad E \pm P = \frac{\pi}{6} c T_{L,R}^2 \quad c = c_{AdS}$$

- Cardy* formula for the entropy

$$S_{wBTZ} = \frac{\pi}{6} c (T_L + T_R)$$

- Limits  $T_R = 0, T_L = 0, i \rightarrow$  Poincaré/global null warped AdS

# Phase space

- *bulk propagating modes* → linearized perturbations (*X modes*)

$$\varphi(u, v, r) \sim e^{-i\omega u + i\kappa v} \varphi(r)$$

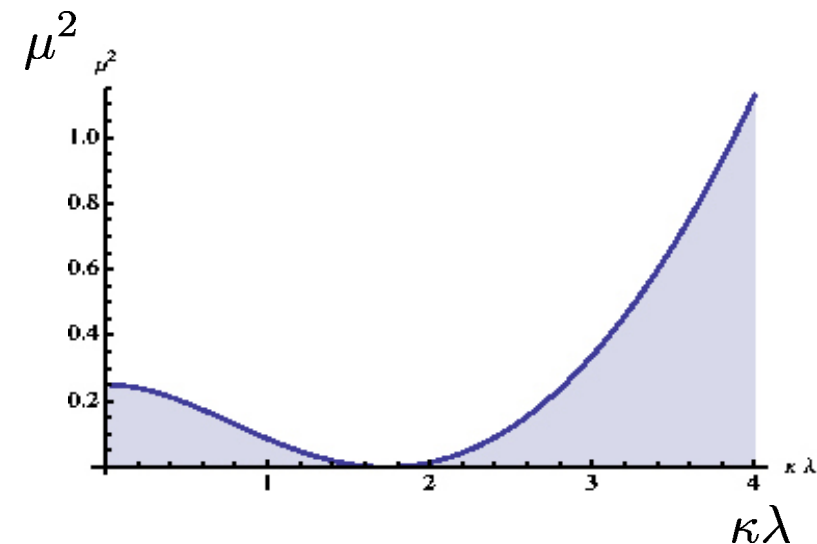
$$U''(r) + \frac{2r}{r^2 - (T_L T_R)^2} U'(r) + \frac{1}{r^2 - (T_L T_R)^2} \left( \frac{1}{4} - \mu^2 + \frac{T_L^2 \omega^2 + T_R^2 \kappa^2 - 2r\omega\kappa}{4(r^2 - (T_L T_R)^2)} \right) U(r) = 0$$

- all  $\lambda$  dependence in  $\mu$  ; conformal dimension  $h(\kappa) = \frac{1}{2} + \mu(\kappa)$
- two degrees of freedom → two possible values for  $\mu$

$$\mu = 1 \pm \frac{1}{2} \sqrt{1 + \lambda^2 \kappa^2}$$

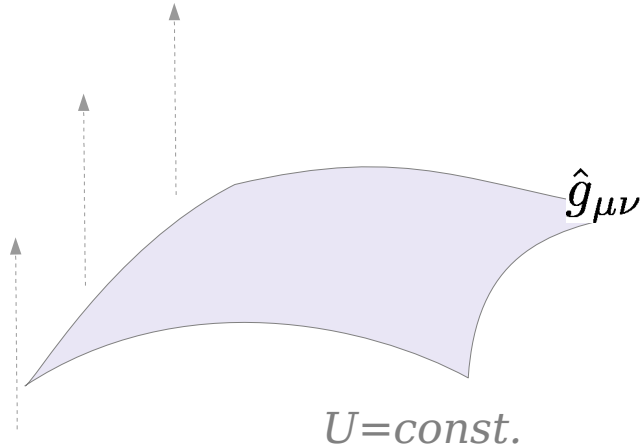
*temperature-independent!*

- *boundary propagating modes* : *T-modes*



# The boundary propagating modes (T-modes)

- locally *diffeomorphic* to the  $U=\text{const}$  solutions (black strings)
- characterized by  $U=\text{const}$  slice through phase space



$$g_{\mu\nu} = e^{4U} (\hat{g}_{\mu\nu} - A_\mu A_\nu)$$

$$F = \frac{2}{\ell} \hat{\star} A \quad \hat{A}^2 = e^{4U} - 1$$

kills all propagating d.o.f

$$\hat{\nabla}_\mu A_\nu + \hat{\nabla}_\nu A_\mu = 0$$

- $\hat{g}_{\mu\nu}$  :  $AdS_3$  metric  $\hat{R}_{\mu\nu} + \frac{2}{\ell^2} \hat{g}_{\mu\nu} = 0$

- boundary data in holographic renormalization

M.G, '11, M.G. '13

- *1-1 correspondence* to solutions of 3d pure Einstein gravity
- *non-local* solution for  $A_\mu, g_{\mu\nu}$  in terms of  $\hat{g}_{\mu\nu}$
- full non-linear solution (explicit expression in skew gauge)

## Symplectic structure of T-mode phase space

- *phase space*  $\leftrightarrow$  *space of solutions to the equations of motion*
- *presymplectic*  $n - 1$  *form*  $\Theta[\phi, \delta\phi]$

$$\delta\mathcal{L}[\phi] = \mathbf{E}_\phi \delta\phi + d\Theta[\phi, \delta\phi]$$

- *symplectic form*

$$\omega[\phi, \delta_1\phi, \delta_2\phi] = \delta_1\Theta[\phi, \delta_2\phi] - \delta_2\Theta[\phi, \delta_1\phi]$$

- *presymplectic form for S-dual dipole theory*  $\Theta_{\mu\nu} = \epsilon_{\mu\nu\lambda}\Theta^\lambda$

$$\Theta_{wAdS_3}^\mu = \underbrace{\nabla_\lambda h^{\lambda\mu} - \nabla^\mu h}_{\text{Einstein}} + \underbrace{\frac{2}{\ell} \epsilon^{\mu\nu\rho} A_\nu \delta A_\rho}_{\text{CS}} - \underbrace{\delta\nabla^\mu U \delta U}_{\text{scalar}}$$

- *ambiguity:*  $\Theta \rightarrow \Theta + d\mathbf{Y}[\phi, \delta\phi]$

$$\omega \rightarrow \omega + d(\delta_1\mathbf{Y}[\phi, \delta_2\phi] - \delta_2\mathbf{Y}[\phi, \delta_1\phi])$$

# Equivalence of T-mode phase space to phase space of gravity in $AdS_3$

- choose  $Y_\mu = -\epsilon_{\mu\alpha\beta} A^\alpha \delta A^\beta$
- can show *analytically* that, on  $U=const$  slice

$$\Theta_{wAdS_3} + dY = \hat{\epsilon}_{\mu\nu\rho} (\hat{\nabla}_\lambda \hat{h}^{\lambda\rho} - \hat{\nabla}^\rho \hat{h}) = \Theta_{AdS_3}$$

- *symplectic form on  $U=const$  slice:*  $\omega_{wAdS_3} + d[\delta Y] = \omega_{AdS_3}$
- *conserved charges:*  $\omega(\delta\phi, \mathcal{L}_\xi\phi) = d(\delta Q_\xi)$

*Any consistent choice of boundary conditions in  $AdS_3$*



*consistent boundary conditions in warped  $AdS_3$*

- *Brown-Henneaux (Dirichlet) boundary conditions*
- *mixed boundary conditions* Compere, Song, Strominger '13

*1 ↔ 1 map between conserved charges in  $AdS_3$  and in  $wAdS_3$ !*

## Including the propagating modes

- conditions on symplectic form: *normalizability and conservation*

$$\omega_{ur} \sim o(r^{-1}) \qquad \omega_{vr} \sim o(r^{-1}) \qquad \omega_{uv} \sim o(r^0)$$

- *calculate:*  $\omega_{tot} = \omega_{Einstein} + \omega_{CS} + \omega_{scalar} + \omega_Y$

- contributions from: *boundary gravitons*  $\rightarrow \mathcal{T}_L [F(u)] , \mathcal{T}_R [G(v)]$

$$\text{- X-modes } \rightarrow U(r) \sim r^{-\frac{1}{2}-\mu} , \quad \mu \geq 0$$

- *results:*

$$\omega_{ur}(\mathcal{T}_L, \mathcal{T}_R) \sim \omega_{vr}(\mathcal{T}_L, \mathcal{T}_R) \sim \mathcal{O}(r^{-3}) \qquad \textit{identical to AdS}_3$$

$$\omega_{ur}(X_1, X_2) \sim \mathcal{O}(r^{-1-\mu_1-\mu_2}) , \quad \omega_{uv}(X_1, X_2) \sim \mathcal{O}(r^{-\mu_1-\mu_2})$$

$$\omega_{ur}(\mathcal{T}_R, X) \sim \mathcal{O}(r^{-\frac{1}{2}-\mu}) , \quad \omega_{uv}(\mathcal{T}_R, X) \sim \mathcal{O}(r^{\frac{1}{2}-\mu}) \qquad \textit{divergent!}$$

$$\omega_{ur}(\mathcal{T}_L, X) \sim \mathcal{O}(r^{-\frac{5}{2}-\mu})$$

$$0 \leq \mu \leq \frac{1}{2}$$

## Removing the divergences from the symplectic norm

- *found:*  $\omega_{ur}(\mathcal{T}_{\mathcal{R}}, X)$ ,  $\omega_{uv}(\mathcal{T}_{\mathcal{R}}, X)$  *divergent for*  $\mu \in [0, 1/2]$
- *can cancel both divergences by boundary counterterm*

$$\omega \rightarrow \omega + d[\delta Y_{ct}]$$

$$Y_{\mu}^{ct}(\phi, \delta\phi) = A_{\mu} \delta f_1(U) + \epsilon_{\mu\nu\rho} \delta A^{\nu} \nabla^{\rho} f_2(U)$$

- $Y_{ct}$  *does not contribute to*  $\omega(\mathcal{T}, \mathcal{T})$
- *no finite contribution to*  $\omega(\mathcal{T}, X)$ ,  $\omega(X, X)$   $\rightarrow$  *positivity unaffected!*
- $f_{1,2}(U)$  *non-local functions of*  $\kappa$   $\rightarrow$  *compare with counterterms in*  
*holographic renormalization*



## Partial conclusions

- *Virasoro x Virasoro symmetry can be made to act on entire gravity phase space!*
  - *non-linear level for T-modes*
  - *linear level for X-modes (around arbitrary  $T_R$ )*
- *non-linear effects unlikely to affect conclusion*     $\mu \rightarrow 2\mu + 1/2$
- *if both Virasoros kept*

*Mismatch to current understanding of field theory!!!*

*“dipole CFT” → non-local along  $v$*

*→ only  $SL(2, \mathbb{R})_L \times U(1)_R$  invariance*

*Toy model II - superradiance*

# The “NHEK” truncation

- 6d uplift of near-horizon of *charged extreme 5d Myers-Perry*  $\in II B/ T^4$

$$S = 2\pi \sqrt{J_L^2 - Q^3}$$

- consistent truncation to 3d:  $g_{\mu\nu}$ ,  $A_\mu^{(1,2)}$ ,  $U_{1,2}$

M.G., Strominger'10

Chern-Simons

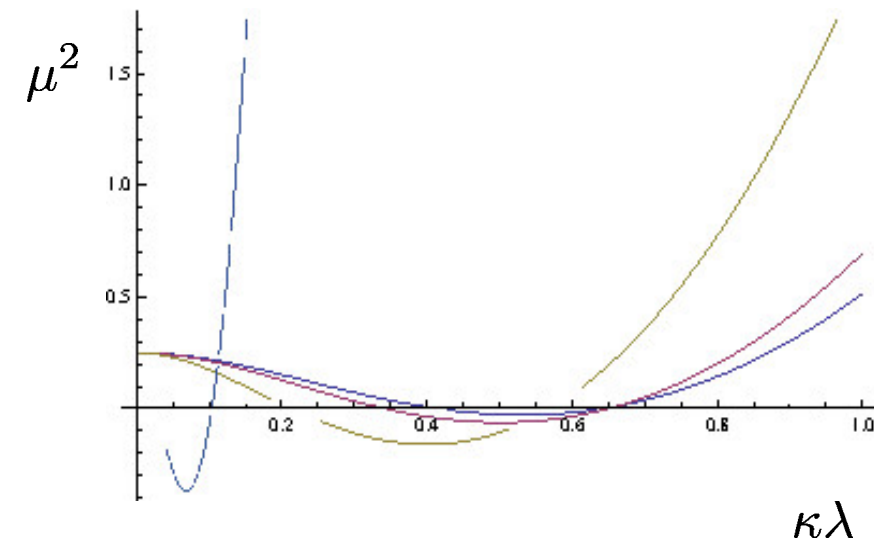
- warped black string solutions:  $\lambda, T_L, T_R$

Detournay, MG '12

$$ds^2 = (1 + \lambda^2 T_R^2)(ds_{BTZ}^2 - A_\mu A_\nu) \quad A_\mu^{(1,2)} = \alpha^{(1,2)}(\lambda T_R) A_\mu, \quad U_{1,2}(\lambda T_R)$$

- Virasoro  $\times$  Virasoro symmetry of non-propagating phase space

- propagating modes around black strings:



$$0 = (r^2 - (T_L T_R)^2) U_1''(r) + 2r U_1'(r) + \left( \frac{1}{4} - \mu^2 + \frac{T_L^2 \omega^2 + T_R^2 \kappa^2 - 2r\omega\kappa}{4(r^2 - (T_L T_R)^2)} \right) U_1(r)$$

# Stability analysis for travelling waves

- *global warped AdS* ( $T_L^2 = -1$ ), *travelling waves*  $\mu \in i\mathbb{R} \rightarrow \mu = i\eta$
  - *solutions*  $\rightarrow$  *Whittaker functions*
  - *as*  $r \rightarrow \infty$  , *we have*  $U \sim A r^{-\frac{1}{2}+i\eta} + B r^{-\frac{1}{2}-i\eta}$  *carry flux through boundary!*
  - *zero flux condition:*  $|A| = |B|$
  - *regularity as*  $r \rightarrow 0$
- } *quantization condition on*  $\omega$   
 $e^{-i\omega u}$
- *no instability found around vacuum* ( $T_R = 0$ )  
*Detournay, MG '12, Moroz '09*
  - *instabilities around black hole solutions!* ( $T_R \neq 0$ )  
*Amsel, Horowitz, Marolf, Roberts '09*
  - *endpoint?*
  - *different kinds of boundary conditions?*

## *Summary & future directions*

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- *toy models of warped AdS  $\rightarrow$  Virasoro  $\times$  Virasoro symmetry acting on pure gauge phase space*
- *extends to full (linearized) phase space when no travelling waves are present*
- *travelling waves  $\rightarrow$  instability*
  
- *correct boundary conditions for travelling waves*
- *fate of the instability?*
- *extension of our results to the extreme Kerr black hole?*

*Thank you!*