

# Recent Advances in SUSY

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**Strings 2014, Princeton**

thanks to feedbacks from **Moore, Seiberg, Yonekura**

Sometime, a few months ago.

*The Elders of the String Theory:*

We would like to ask you to review the recent progress regarding “**exact results in supersymmetric gauge theories**”.

*Me:*

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That is a great honor. I'll try my best. But, **in which dimensions?** With **how many supersymmetries?**

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**I never heard back.**

So, I would split the talk into five parts, covering

*D*-dimensional SUSY theories for  $D = 2, 3, 4, 5, 6$

in turn. Each will be about 10 minutes, further subdivided according to the number of supersymmetries.

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I'm joking. That would be too dull for you to listen to.

Instead, the talk is organized around three overarching themes in the last few years:

- **Localization**
- **'Non-Lagrangian' theories**
- **Mixed-dimensional systems**

Instead, the talk is organized around three overarching themes in the last few years:

- **Localization**

Partition functions exactly computable in many cases.  
Checks of old dualities and their refinements.  
New dualities.

- **'Non-Lagrangian' theories**

With no known Lagrangians  
or with known Lagrangians that are of not very useful  
Still we've learned a lot how to deal with them.

- **Mixed-dimensional systems**

Compactification of 6d  $\mathcal{N}=(2, 0)$  theories ...  
Not just operators supported on points in a fixed theory.  
Loop operators, surface operators,...



# Contents

**1. Localization**

**2. ‘Non-Lagrangian’ theories**

**3. 6d  $\mathcal{N}=(2, 0)$  theory itself**

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## 1. Localization

## 2. 'Non-Lagrangian' theories

## 3. 6d $\mathcal{N}=(2, 0)$ theory itself

## Topological quantum field theory [Witten, 1988]

- 4d  $\mathcal{N}=2$  theories have  $\mathbf{SU}(2)_l \times \mathbf{SU}(2)_r \times \mathbf{SU}(2)_R$  symmetry.
- Combine  $\mathbf{SU}(2)_r \times \mathbf{SU}(2)_R \rightarrow \mathbf{SU}(2)_{r'}$
- This gives covariantly constant spinors on arbitrary manifold.

## Localization of gauge theory on a four-sphere and supersymmetric Wilson loops [Pestun, 2007]

- 4d  $\mathcal{N}=2$  SCFTs can be put on  $S^4$  by a conformal mapping.
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Are they very different? No.

[Festuccia,Seiberg, 2011] [Dumitrescu,Festuccia,Seiberg, 2012] ...

We can put a QFT on a curved manifold, because  $T_{\mu\nu}$  knows how to couple to  $g_{\mu\nu}$ , i.e. non-dynamical gravity backgrounds.

A supersymmetric QFT

- has the energy-momentum  $T_{\mu\nu}$ , can couple to  $g_{\mu\nu}$
- has the supersymmetry current  $S_{\mu\alpha}$ , can couple to  $\psi_{\mu\alpha}$
- if it has the R-current  $J_{\mu}^R$ , can couple to  $A_{\mu}^R$
- if it has a scalar component  $X_{AB}$ , can couple to  $M_{AB}$

Depending on the type of the supermultiplet containing  $T_{\mu\nu}$ , can couple to various non-dynamical supergravity backgrounds.

[Witten 1988] used  $g_{\mu\nu}$  and  $A_{\mu}^R$  while [Pestun 2007] also used  $M_{AB}$ .

Take a QFT  $Q$  that is Poincaré invariant.

Consider a curved manifold  $M$  with isometry  $\xi$ .

Then  $\langle \delta_\xi O \rangle = 0$  for any  $O$ .

Take a QFT  $Q$  that is **supersymmetric**.

Take a non-dynamical supergravity background  $M$  with **superisometry**  $\epsilon$ .

Then  $\langle \delta_\epsilon O \rangle = 0$  for any  $O$ .

Add to the Lagrangian a **localizing term**:

$$S \rightarrow S + t \int d^d x \delta_\epsilon O,$$

such that

$$\delta_\epsilon^2 O = 0, \quad \delta_\epsilon O \simeq \sum_\psi |\delta\psi|^2.$$

Then

$$\frac{\partial}{\partial t} \mathbf{log} Z = \int d^d x \langle \delta_\epsilon O \rangle = 0.$$

In the large  $t$  limit, the integral localizes to the configurations

$$\delta\psi = 0$$

parameterized by some space  $\mathcal{M} = \sqcup \mathcal{M}_i$ . Then

$$Z = \sum_i \int_{\mathcal{M}_i} Z_{\text{classical}} Z_{\text{quadr. fluct.}}$$

This has been carried out in many cases.

- many papers on topologically twisted theories
- $\Omega$ -backgrounds on non-compact spaces such as  $\mathbb{R}^d, \dots$
- $S^2, \mathbb{RP}^2, \dots$
- $S^3, S^3/\mathbb{Z}_k, S^2 \times S^1, \dots$
- $S^4, S^3 \times S^1, S^3/\mathbb{Z}_k \times S^1, \dots$
- $S^5, S^4 \times S^1$ , general Sasaki-Einstein five-manifolds, ...
- cases above with boundaries, codimension-2 operators, ...

Note that you need to specify the **full supergravity background**.

Only the **topological property of  $\delta_\epsilon^2$  matters**: there are **uncountably-infinite choices** of values of the sugra background **with the same partition function**.

[Witten 1988][Hama,Hosomichi 2012]

[Closset,Dumitrescu,Festuccia,Komargodski 2013]



Many great developments on localization in the last couple of years.

For example,

- Connection to holography  
→ [Freedman's talk], [Dabholker's talk]
- Better understanding of 2d non-abelian gauge theories  
→ [Gomis's talk]
- Extremely detailed understanding of 3d theory on  $S^3$   
→ [Mariño's talk]
- and much more ...

Let me say a few words about **localization of 5d theories**.

# Localization of five dimensional gauge theories

	minimal SUSY	maximal SUSY
susy literature	$\mathcal{N}=1$	$\mathcal{N}=2$
sugra literature	$\mathcal{N}=2$	$\mathcal{N}=4$

## Caveat

- 5d gauge theories are all **non-renormalizable**.
- What do we mean by the localization of the path integral, then?

## My excuses

- If there's a UV fixed point, we're just computing the quantity in the IR description
- If the non-renormalizable terms are all  $\delta_\epsilon$ -exact, they don't matter.
- Someone in the audience will think about it.

First note  $\text{tr } F \wedge F$  is a conserved current in 5d.

### Minimal SUSY

5d SCFT with  $E_{N_f+1}$  symmetry.  $\xrightarrow[m = 1/g^2]{\text{mass deform.}}$   $\text{SU}(2)$  with  $N_f$  flavors  
 $\text{SO}(2N_f)$  symmetry.

**Instanton charge enhances the flavor symmetry.**

### Maximal SUSY

6d  $\mathcal{N}=(2, 0)$  SCFT  $\xrightarrow[m_{KK} = 1/g^2]{\text{put on } S^1}$  5d max SYM

**Instanton charge is the KK charge.**

Many nontrivial checks using **localization** and **topological vertex**.  
Heavily uses the instanton counting. [Nekrasov]

$S^4 \times S^1$

[Kim, Kim, Lee] [Terashima] [Iqbal-Vafa] [Nieri, Pasquetti, Passerini]  
[Bergman, Rodriguez-Gomez, Zafrir] [Bao, Mitev, Pomoni, Taki, Yagi]  
[Hayashi, Kim, Nishinaka] [Taki] [Aganagic, Haouzi, Shakirov]

$S^5$

[Kallen, Zabzine] [Hosomichi, Seong, Terashima] [Kallen, Qiu, Zabzine] [Kim, Kim, Kim]  
[Imamura] [Lockhart, Vafa] [Kim, Kim, Kim] [Nieri, Pasquetti, Passerini]

Sasaki-Einstein manifolds

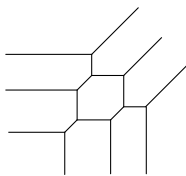
[Qiu, Zabzine] [Schmude] [Qiu, Tizzano, Winding, Zabzine]

5d  $E_6$  theory

mass deform.



$SU(2)$  with 5 flavors



$Z(S^1 \times S^4)$  computable by gauge theory or by refined topological string

[Kim, Kim, Lee] [Bao, Mitev, Pomoni, Yagi, Taki]

[Hayashi, Kim, Nishinaka][Aganagic, Haouzi, Shakirov]

Generalization to other gauge theories

[Bergman, Rodriguez-Gomez, Zafrir]

6d  $\mathcal{N}=(2, 0)$   
on  $S^4 \times C$

[Gaiotto, Moore, Neitzke]

2d Toda theory  
on  $C$

[Alday, Gaiotto, YT]

class S theory  
given by  $C$   
on  $S^4$

6d  $\mathcal{N}=(2, 0)$   
on  $S^4 \times C$

5d max-susy YM  
on  $(S^4/S^1) \times C$

[Cordova, Jafferis]  
talk yesterday!

2d Toda theory  
on  $C$

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class S theory  
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6d  $\mathcal{N}=(2, 0)$   
on  $S^1 \times S^3 \times C$

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2d  $q$ -deformed YM  
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[Gaiotto, Rastelli, Razamat, Yan]

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6d  $\mathcal{N}=(2, 0)$   
on  $S^1 \times S^3 \times C$

5d max-susy YM  
on  $S^3 \times C$

[Fukuda, Kawano, Matsumiya]

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6d  $\mathcal{N}=(2,0)$   
on  $S^3 \times X$

[Dimofte,Gaiotto,Gukov]

3d complex CS  
on  $X$

[Dimofte,Gaiotto,Gukov]

class R theory  
given by  $X$   
on  $S^3$

6d  $\mathcal{N}=(2,0)$   
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5d max-susy YM  
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[Cordova, Jafferis][Lee, Yamazaki]

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[Dimofte, Gaiotto, Gukov]

$n$ -dimensional susy gauge theory on  $S^n \rightarrow$  matrix integral = 0d QFT

$n$ -dimensional susy gauge theory on  $S^d \rightarrow (n - d)$ -dimensional QFT

Let's call it **partial localization**.

6d  $\mathcal{N}=(2, 0)$  theory on  $S^1 \rightarrow$  5d max-susy YM

My gut feeling is that this is an instance of partial localization.

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1. Localization

2. 'Non-Lagrangian' theories

3. 6d  $\mathcal{N}=(2, 0)$  theory itself

A **non-Lagrangian** theory, for the purpose of the present talk, is a theory such that the Lagrangian is not known and/or agreed upon.

It's a time-dependent concept.

Given a **non-Lagrangian** theory, two obvious approaches are

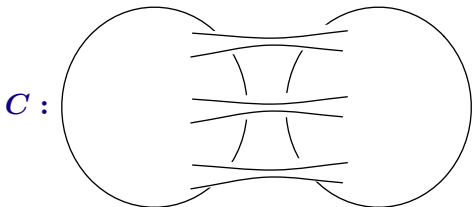
- to work hard to find the Lagrangian
- to work around the absence of the Lagrangian

The first had a spectacular success in 3d [Schwarz,BLG, ABJM,...]

The second perspective is there for those who can't wait.

The 6d  $\mathcal{N}=(2, 0)$  theories are the prime examples. I'll come back to the 6d theory itself later.

First consider its compactification on a Riemann surface

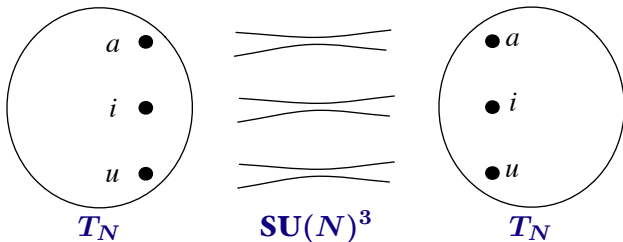


and get a 4d theory. Usually non-Lagrangian.

Called the class S construction, or the tinkertoy construction.

[Gaiotto, Moore, Neitzke] [Chacaltana, Distler]

Decompose it into **tubes** and **spheres** [Gaiotto]

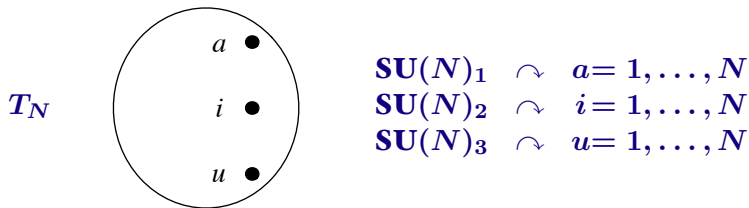


## Tubes

- R-symmetry twist on  $C$  was originally chosen to preserve 4d  $\mathcal{N}=2$   
→  $\mathcal{N}=2$  vector multiplets from tubes  
[Gaiotto, Moore, Neitzke][Gaiotto]
- R-symmetry twist on  $C$  can be chosen so that to have 4d  $\mathcal{N}=1$   
→ tubes can give either  $\mathcal{N}=1$  or  $\mathcal{N}=2$  vector multiplets  
[Bah, Beem, Bobev, Wecht],[Gadde, Maruyoshi, YT, Yan],[Xie, Yonekura]



# Spheres



Introduced five years ago [Gaiotto].

An 4d  $\mathcal{N}=2$  theory with  $\mathbf{SU}(N)^3$  symmetry.

$T_2$ : a theory of free  $Q_{aiu}$ .

$T_3$ : the  $E_6$  theory of Minahan and Nemeschansky. In terms of  $\mathbf{SU}(3)^3$ ,

$Q_{aiu}, \tilde{Q}^{aiu}, \mu_b^a, \tilde{\mu}_j^i, \hat{\mu}_v^u$ , all dimension 2.

$T_N$ : not much was known.

Five years later: the spectrum of BPS operators known, thanks to the relation of the index with 2d  $q$ -deformed Yang-Mills [Gadde,Pomoni,Rastelli,Razamat,Yan].

Using that as a guide, the chiral ring relations can be worked out.

Generators on the Higgs branch side:

dimension	name
2	$\mu_b^a, \tilde{\mu}_j^i, \hat{\mu}_v^u$
$1(N - 1)$	$Q_{aiu}$
$2(N - 2)$	$Q_{[ab][ij][uv]}$
$\vdots$	$\vdots$
$k(N - k)$	$Q_{[a_1 \dots a_k][i_1 \dots i_k][u_1 \dots u_k]}$
$\vdots$	$\vdots$
$(N - 1)1$	$Q_{[a_1 \dots a_{N-1}][i_1 \dots i_{N-1}][u_1 \dots u_{N-1}]} = \tilde{Q}^{aiu}$

$T_N$  is well understood to such a degree that, although it is **non-Lagrangian**, we can even analyze susy breaking.

- A chiral ring relation

$$\mathbf{tr}(\mu_b^a)^k = \mathbf{tr}(\tilde{\mu}_j^i)^k = \mathbf{tr}(\hat{\mu}_v^u)^k$$

for any  $k$ .

- Couple one  $\mathcal{N}=1$   $\mathbf{SU}(N)$  vector multiplet to the index  $a$ .  
 $i$  and  $u$  remain flavor.
- $\beta$ -function = the same as  $N_c = N_f$ .
- Expect the deformation of the chiral ring, and indeed

$$\mathbf{tr}(\tilde{\mu}_j^i)^N = \mathbf{tr}(\hat{\mu}_v^u)^N + \Lambda^{2N}.$$

- When  $N = 2$ , it reproduces the deformation of the moduli space of  $\mathbf{SU}(2)$  with 2 flavors.

- Add gauge singlets  $\tilde{M}_j^i$  and  $\hat{M}_v^u$ , and add the superpotential

$$W = \tilde{M}_j^i \tilde{\mu}_i^j + \hat{M}_v^u \hat{\mu}_u^v,$$

forcing  $\tilde{\mu} = \hat{\mu} = 0$ .

- This contradicts the deformation of the chiral ring

$$\mathbf{tr}(\tilde{\mu}_j^i)^N = \mathbf{tr}(\hat{\mu}_v^u)^N + \Lambda^{2N}.$$

and breaks the supersymmetry. You can check there's no run-away.

- When  $N = 2$ , this is the susy breaking mechanism of [ITIY]. Typically, various phenomena known to work for  $\mathbf{SU}(2) = \mathbf{Sp}(1)$  and in general  $\mathbf{Sp}(N)$ , but not for  $\mathbf{SU}(N)$ , are now possible if we use  $T_N$  instead of the fundamentals.

[Gadde, Maruyoshi, YT, Yan][Maruyoshi, YT, Yan, Yonekura]

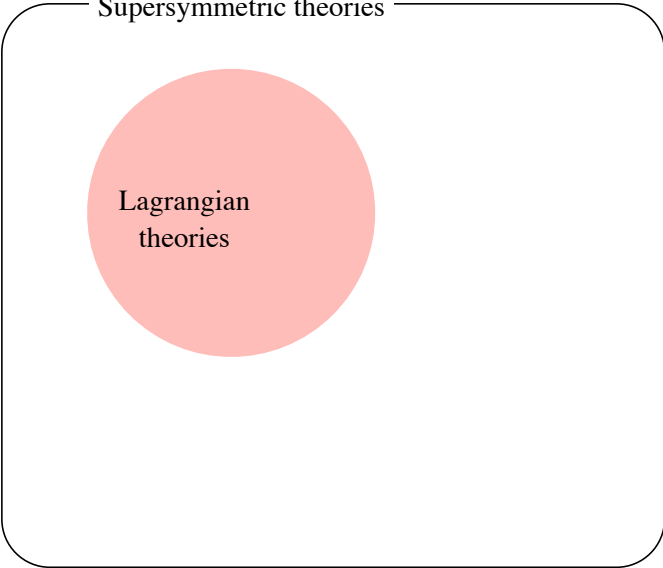
My personal impression is that by allowing  $T_N$  and other **non-Lagrangian materials**, we can have lots more fun in doing supersymmetric dynamics.

- $T_N$  and its variants
- Generalized Argyres-Douglas theories [Zhao,Xie]
- $(\Gamma, \Gamma')$  theories [Cecotti,Vafa,Neitzke]
- $D_p(G)$  theories [Cecotti,Del Zotto,Giacomelli]

The known ones are  $\mathcal{N}=2$ , but we can mix it with  $\mathcal{N}=1$  gauge fields etc.

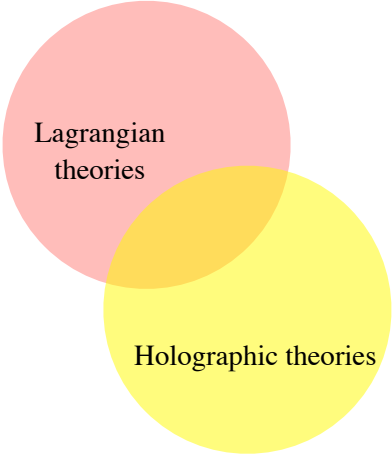
There will be genuine  $\mathcal{N}=1$  non-Lagrangian materials, too.

Supersymmetric theories



Lagrangian  
theories

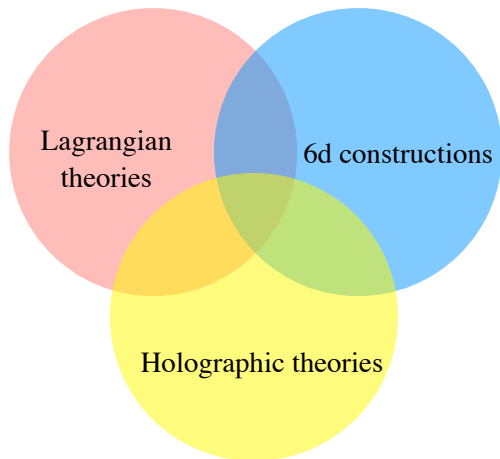
Supersymmetric theories



Lagrangian  
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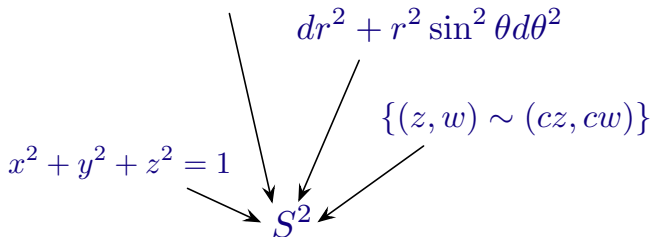
Holographic theories

## Supersymmetric theories

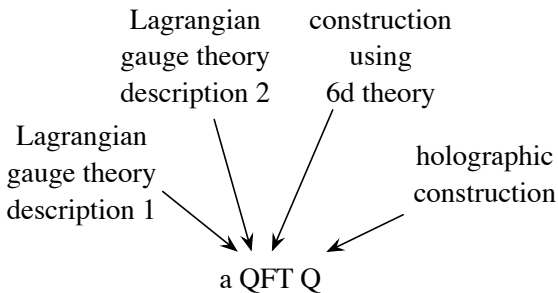




patching two disks



each can give complementary info  
no one thing privileged



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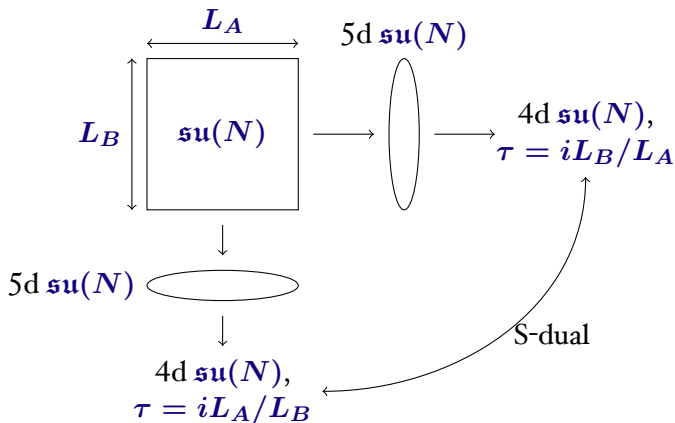
# Contents

1. Localization

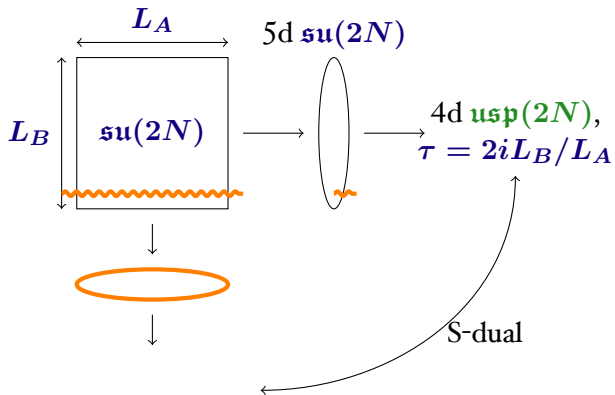
2. 'Non-Lagrangian' theories

3.  $6d \mathcal{N}=(2, 0)$  theory itself

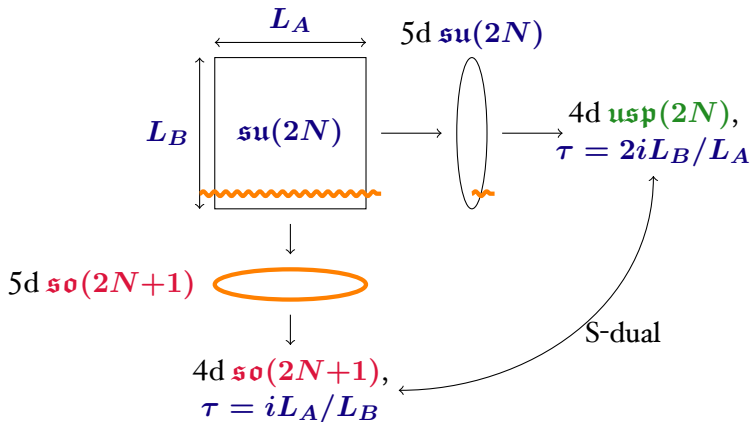
Let's now talk about the 6d theory itself. Recall the basics:



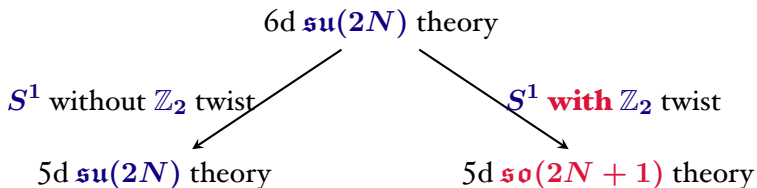
Note that  $\mathfrak{su}(N)$  has  $\mathbb{Z}_2$  symmetry  $M \rightarrow M^T$ . Using this, we find



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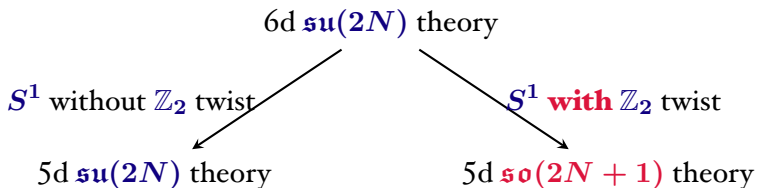


6d  $\mathcal{N}=(2, 0)$  theory of type  $\mathfrak{su}(2N)$  has a  $\mathbb{Z}_2$  symmetry, such that



Note that  $\mathfrak{so}(2N + 1) \not\subset \mathfrak{su}(2N)$ .

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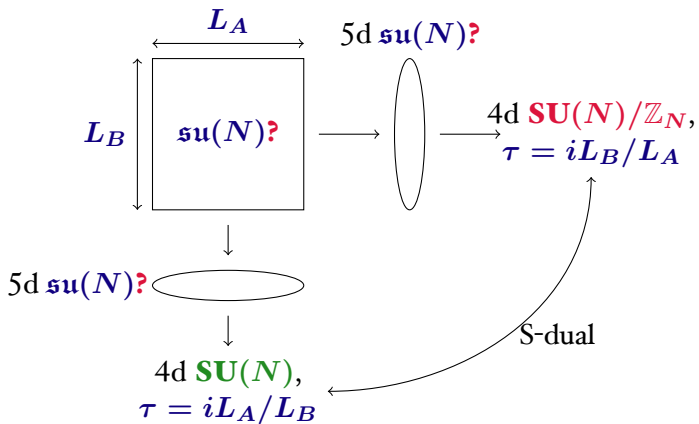


Note that  $\mathfrak{so}(2N + 1) \not\subset \mathfrak{su}(2N)$ .

- Have you written / are you reading a paper on the Lagrangian of 6d  $\mathcal{N}=(2, 0)$  theory?
- If so, take 6d theory of type  $\mathfrak{su}(2N)$ .
- Put it on  $S^1$  with  $\mathbb{Z}_2$  twist.
- Does your Lagrangian give  $\mathfrak{so}(2N + 1)$ ?



Next, Let's study the question



6d  $\mathcal{N}=(2, 0)$  theory of type  $\mathfrak{su}(N)$   
**doesn't have a unique partition function.**

It only has a **partition vector**.

It's slightly outside of the concept of an ordinary QFT.

[Aharony, Witten 1998][Moore 2004][Witten 2009]

For a 4d  $\mathfrak{su}(N)$  gauge theory on  $X$ , we can fix the magnetic flux

$$a \in H^2(X, \mathbb{Z}_N)$$

and consider  $Z(X)_a$ .

Consider 6d  $\mathcal{N}=(2, 0)$  theory of type  $\mathfrak{su}(N)$  on a 6d manifold  $M$ .

One wants to fix

$$a \in H^3(M, \mathbb{Z}_N)$$

so that  $\int_C a \in \mathbb{Z}_N$  is the magnetic flux through  $C$ .

Due to self-duality, you **can't do that** for two intersecting cycles  $C, C'$  with  $C \cap C' \neq 0$ , because they're **mutually nonlocal**.

Instead, you need to do this:

- Split  $H^3(M, \mathbb{Z}_N) = A \oplus B$ , so that

$$\int_M a \wedge a' = 0 \text{ for } a, a' \in A,$$

$$\int_M b \wedge b' = 0 \text{ for } b, b' \in B.$$

- Then, you can specify the flux  $a \in A$  or  $b \in B$ , but not both at the same time.
- Correspondingly, we have

$$\{Z(M)_a | a \in A\} \text{ and } \{Z(M)^b | b \in B\}$$

related by

$$Z_a \propto \sum_b e^{i \int_M a \wedge b} Z^b.$$

This can be derived/argued in many ways.  
But I don't have time to talk about it today.

In other words, there is a **partition vector**  $|Z\rangle$  such that

$$Z_a = \langle Z|a\rangle, \quad Z^b = \langle Z|b\rangle,$$

where

$$\{|a\rangle; a \in A\} \quad \text{and} \quad \{|b\rangle; b \in B\} \quad \text{with} \quad \langle a|b\rangle = e^{i \int_M a \wedge b}$$

are two sets of basis vectors.

It's rather like conformal blocks of 2d CFTs. [Segal]

Theories that have partition vectors rather than partition functions are called under various names: **relative QFTs**, **metatheories**, etc ...

[Freed, Teleman] [Seiberg]...

6d theory of type  $\mathfrak{su}(N)$  is **slightly meta**.

So, if it's just put on  $T^2$ , it's still **slightly meta**.

On  $M = T^2 \times Y$ , you need to write  $T^2 = S_A^1 \times S_B^1$ , and split

$$H^3(M, \mathbb{Z}_N) \supset H^2(Y, \mathbb{Z}_N)_A \oplus H^2(Y, \mathbb{Z}_N)_B,$$

and declare you take  $H^2(Y, \mathbb{Z}_N)_A$ .

You need to make this choice

**in addition to the choice of the order of the compactification.**

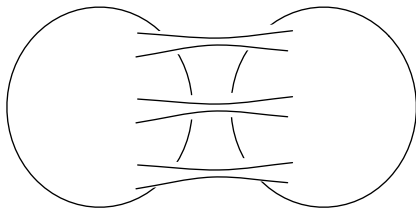
This choice picks a particular genuine QFT, by specifying a particular gauge group  $\mathbf{SU}(N)/\mathbb{Z}_k$  and discrete  $\theta$  angles discussed in [Aharony,Seiberg,YT].

Reproduces the S-duality rule of [Vafa,Witten].

This analysis can be extended to all class S theories. [YT]

6d theory on a genus  $g$  surface  $C$

=  $2g$  copies of  $T_N$  theories coupled by  $3g$   $\mathfrak{su}(N)$  multiplets.



You can work out

- possible choices of the group structure on  $\mathfrak{su}(N)^{3g}$ ,
- together with discrete theta angles,
- how they are acted on by the S-duality ...

Let's put the 6d theory of type  $\mathfrak{su}(N)$  on  $M = S^3 \times S^1 \times C$ .

As class S theory, the choice of the precise group of  $\mathfrak{su}(N)$  vector multiplets doesn't matter, as there are no 2-cycles on  $S^3 \times S^1$ .

Still, we have

$$H^3(M) = H^3(S^3) \oplus H^3(S^1 \times C).$$

So, as components of the partition vector, we have

$$\{Z_a | a \in H^3(S^3) = \mathbb{Z}_N\}$$

and

$$\{Z^b | b \in H^3(S^1 \times C) = \mathbb{Z}_N\}$$

such that

$$Z_a = \sum_b e^{i2\pi ab/N} Z^b.$$

What are these additional labels  $a$  and  $b$ ?



This means that 4d class S theory  $T[C]$  has a  $\mathbb{Z}_N$  symmetry.

$$Z_a = \text{tr}_{\mathcal{H}_a} (-1)^F e^{-\beta H}.$$

is the partition function restricted to  $\mathbb{Z}_N$ -charge  $a$ .

Recall

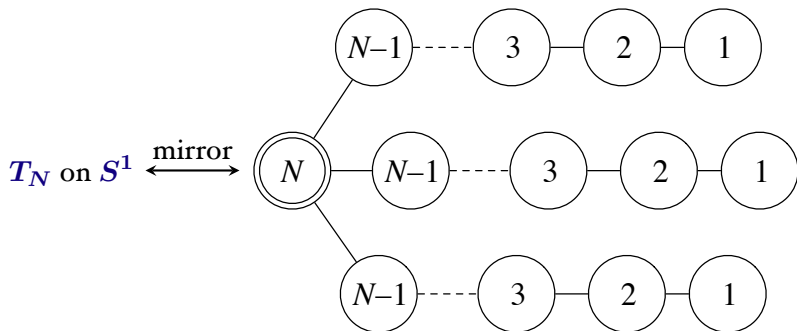
$T[C]$  on  $S^3 \times S^1 = 2d$   $q$ -deformed  $\mathfrak{su}(N)$  Yang-Mills on  $C$ .

Then

$$Z^b = \sum_a e^{i2\pi ab/N} Z_a$$

is the 2d  $q$ -deformed  $\mathfrak{su}(N)$  YM with **monopole flux**  $b$  on  $C$ .

The same subtlety arises in various places.



$T_N$	$\leftrightarrow$	central node is $\mathbf{SU}(N)/\mathbb{Z}_N$
$T_N$ coupled to $\mathbb{Z}_N$ gauge field	$\leftrightarrow$	central node is $\mathbf{SU}(N)$

Can be seen by performing 3d localization on  $S^3$ ,  $S^2 \times S^1$ , lens space...  
 [Razamat, Willet]

These subtleties become more relevant, because with localization we can now compute more diverse quantities.

# Summary

- **Localization** technique has matured.  
Gives us lots of checks of old and new dualities.
- **Non-Lagrangian theories** might have satisfactory Lagrangians in the future. But you don't have to wait.  
We are learning to analyze QFTs without Lagrangians.
- **$6d \mathcal{N}=(2, 0)$  theories** are still mysterious.  
have the partition vectors, instead of the partition functions.  
Subtle but important on compact manifolds.

I would expect steady progress in the coming years.

# Monopole Condensation, And Confinement In $N=2$ Supersymmetric Yang–Mills Theory

N. Seiberg, E. Witten

*(Submitted on 15 Jul 1994)*

We study the vacuum structure and dyon spectrum of  $N=2$  supersymmetric gauge theory in four dimensions, with gauge group  $SU(2)$ . The theory turns out to have remarkably rich and physical properties which can nonetheless be described precisely; exact formulas can be obtained, for instance, for electron and dyon masses and the metric on the moduli space of vacua. The description involves a version of Olive–Montonen electric–magnetic duality. The “strongly coupled” vacuum turns out to be a weakly coupled theory of monopoles, and with a suitable perturbation confinement is described by monopole condensation.

Comments: 45pp, harvmac

Subjects: **High Energy Physics – Theory (hep-th)**; High Energy Physics – Phenomenology (hep-ph)

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***Happy 20th anniversary,  
Seiberg-Witten theory!***